



Troubles de la déglutition: Qu'attendre des explorations pour le diagnostic et la prise en charge?

Dr Eric VERIN

eric.verin@chu-rouen.fr / 02 32 88 80 39

Service de physiologie, CHU de Rouen





1st Congress of the
**European Society of
Swallowing Disorders**
(Formerly EGDG)



***Moving on in diagnostics and
treatment of dysphagia***

September 9-10, 2011
Pre-Conference on September 8, 2011

Leiden, The Netherlands
Holiday Inn Leiden

Organizing Committee:
Renée Speyer (Chair), Laura Baijens, Bas Heijnen

Deadline abstract submission: May 15, 2011
Early-bird registration date: May 29, 2011

Contact: INFO@ESSD2011.NL
WWW.ESSD2011.NL

Population générale de + de 50 ans : 16-20%

Patients hospitalisés: 12-13%

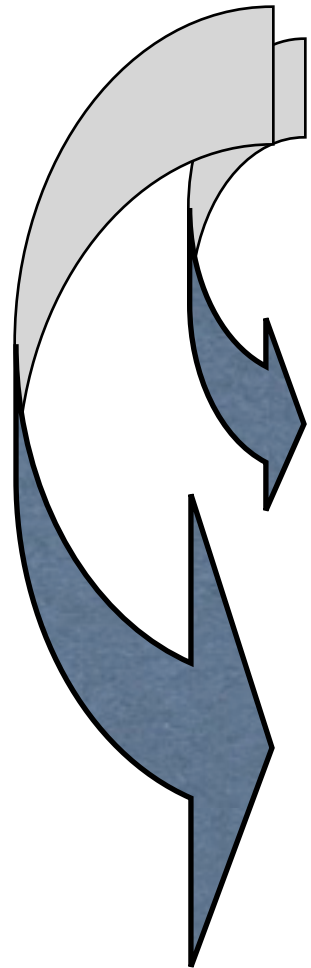
En dehors des étiologies ORL

Traumas cérébraux

Accidents vasculaires cérébraux

Maladie de Parkinson

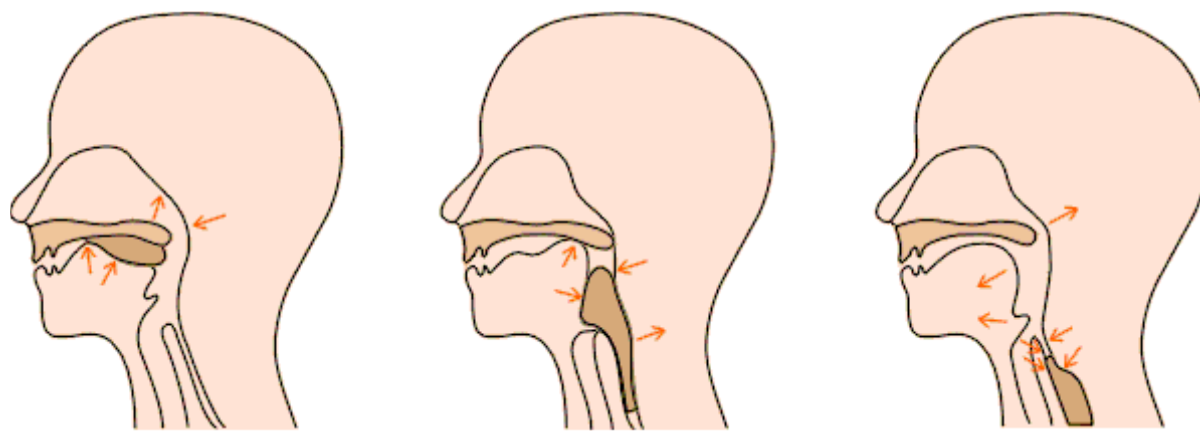
20-40%



Patients institutionnalisés: 40-50%

**Institutionnalisation + Trouble de la
déglutition + Fausses routes**

Mortalité à 12 mois de 45%



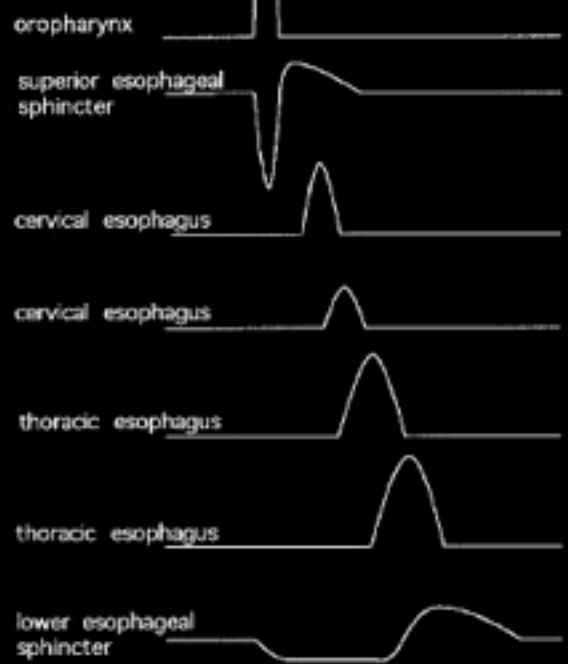
A

B



Pharynx

Oesophagus



0.6-1 s
10-20 cm/s

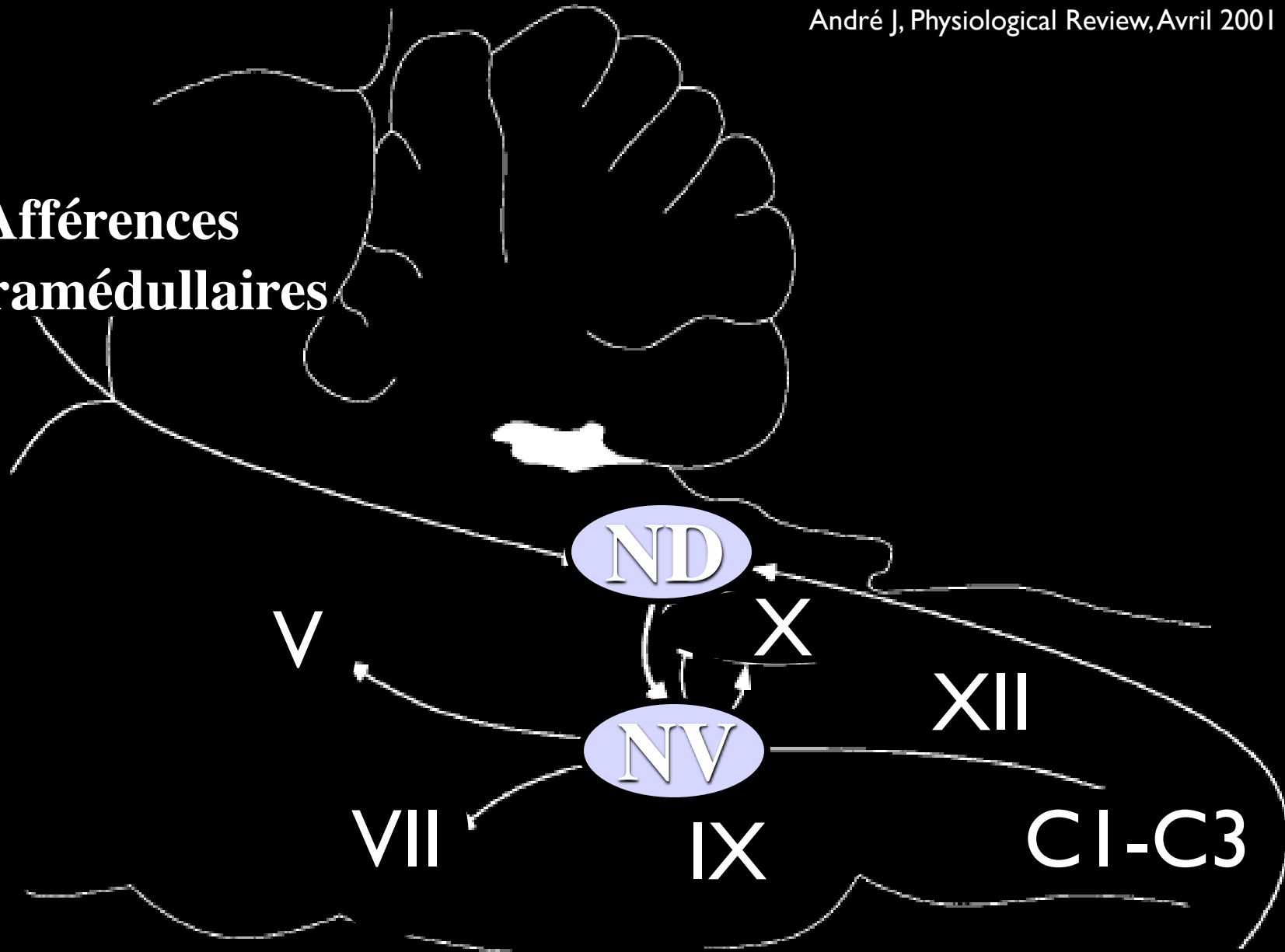
10 s
2-4 cm/s

50mm Hg

500ms

5 s

**Afférences
Supramédullaires**



V

ND

X

NV

XII

VII

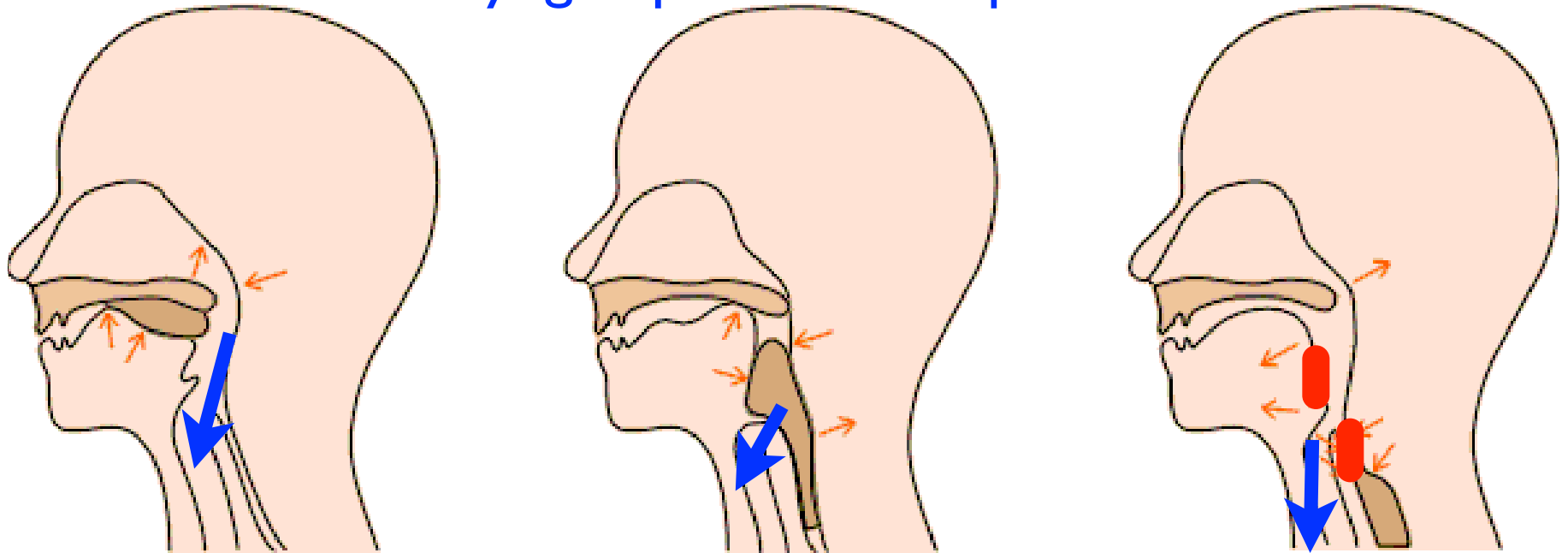
IX

C1-C3

Afférences périphériques

Trouble de la propulsion: résidu alimentaire pharyngé

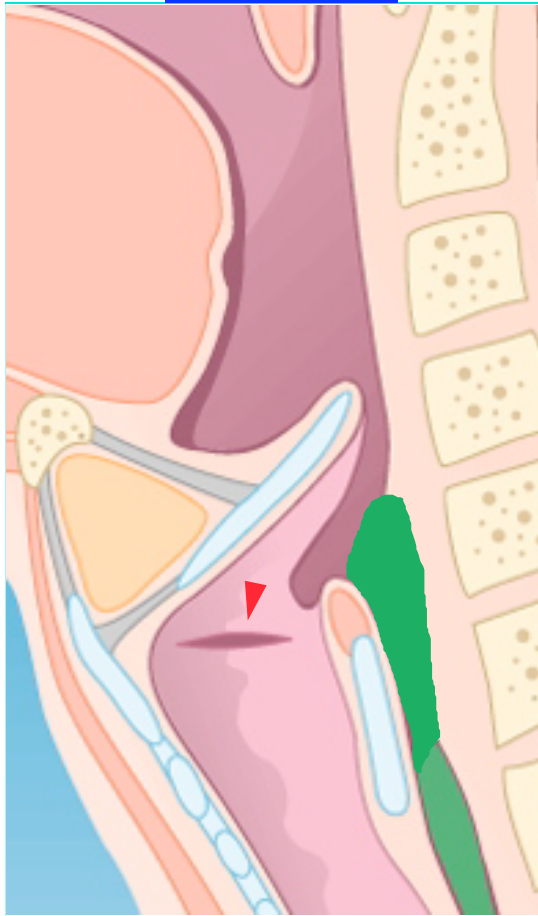
Trouble de la protection (fausses routes): pénétration laryngée puis bronchique



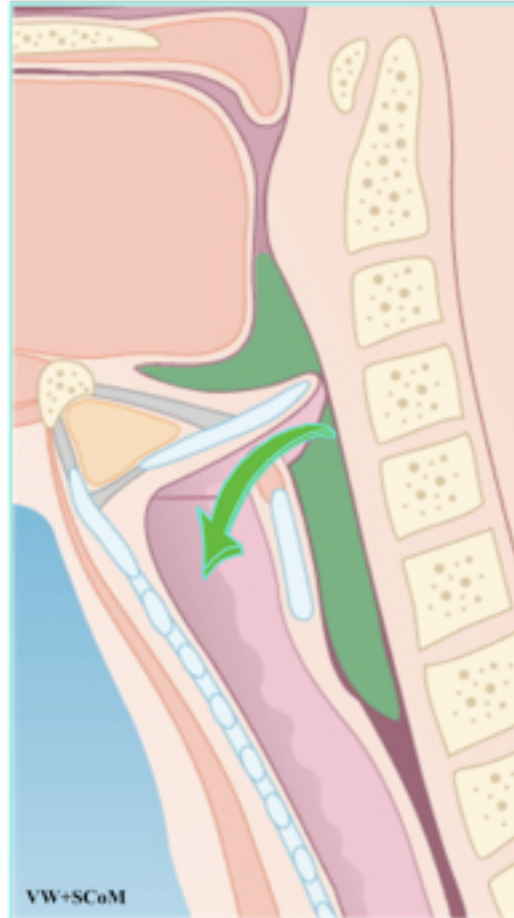
Complications respiratoires et nutritionnelles

Fausses routes laryngées / trachéales

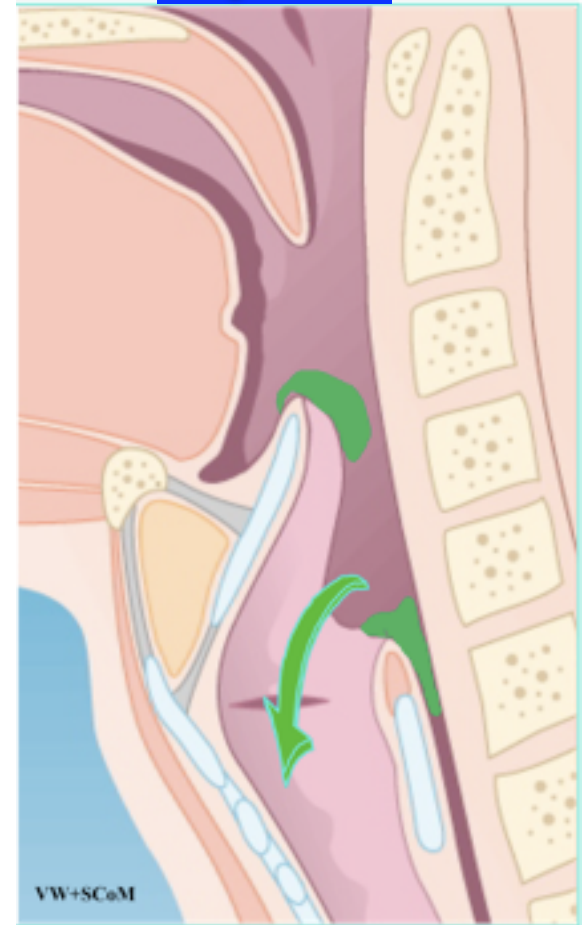
Avant



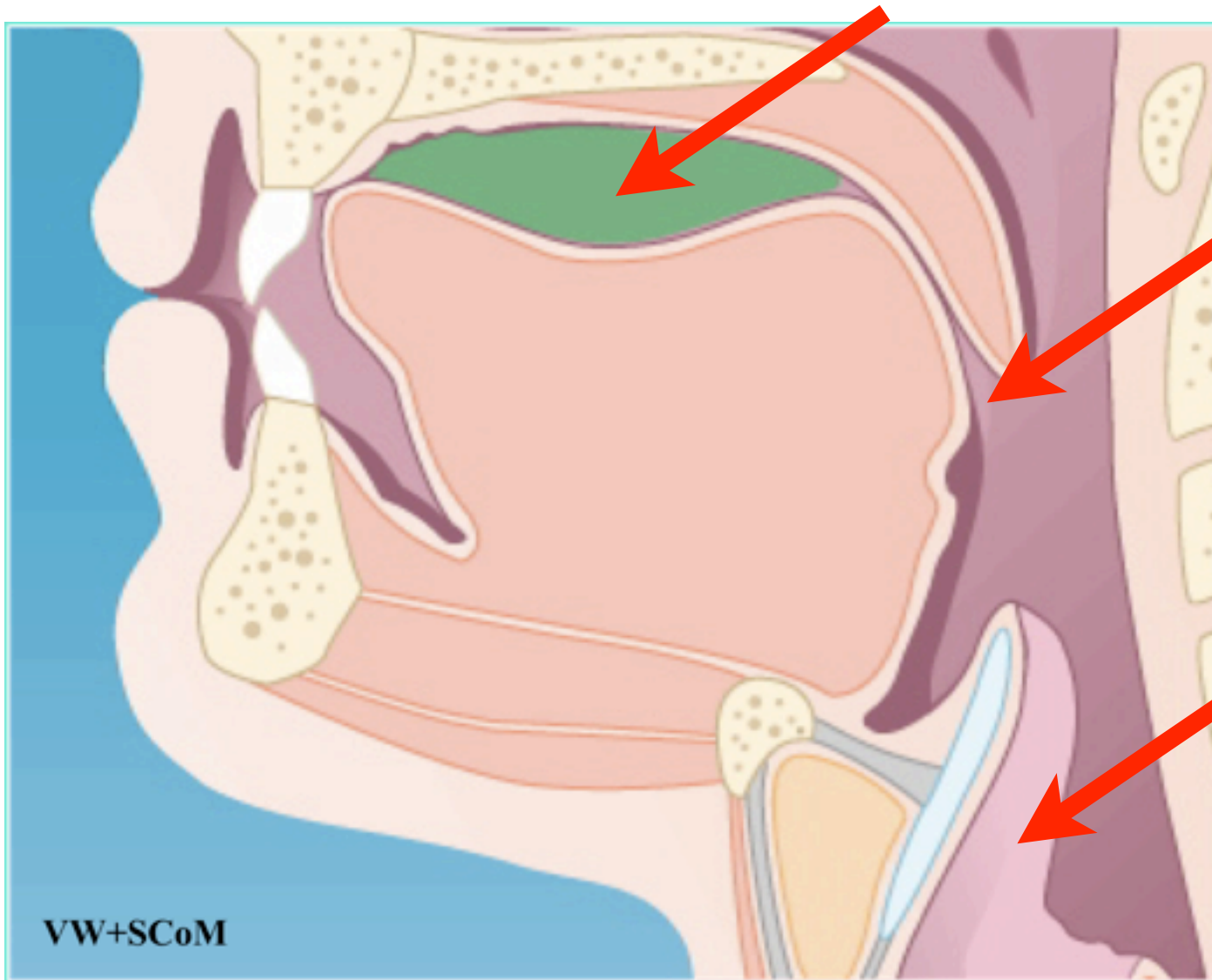
Pendant



Après



DEFAUT DE TRANSPORT DES ALIMENTS



Les questions

Dysfonction sévère de la déglutition?

- ◆ Alimentation non orale?
- ◆ Trachéotomie?

Dysfonction peu sévère: la réadpatation est possible?

- ◆ Alimentation adaptée?
- ◆ Rééducation de la déglutition?

Les moyens

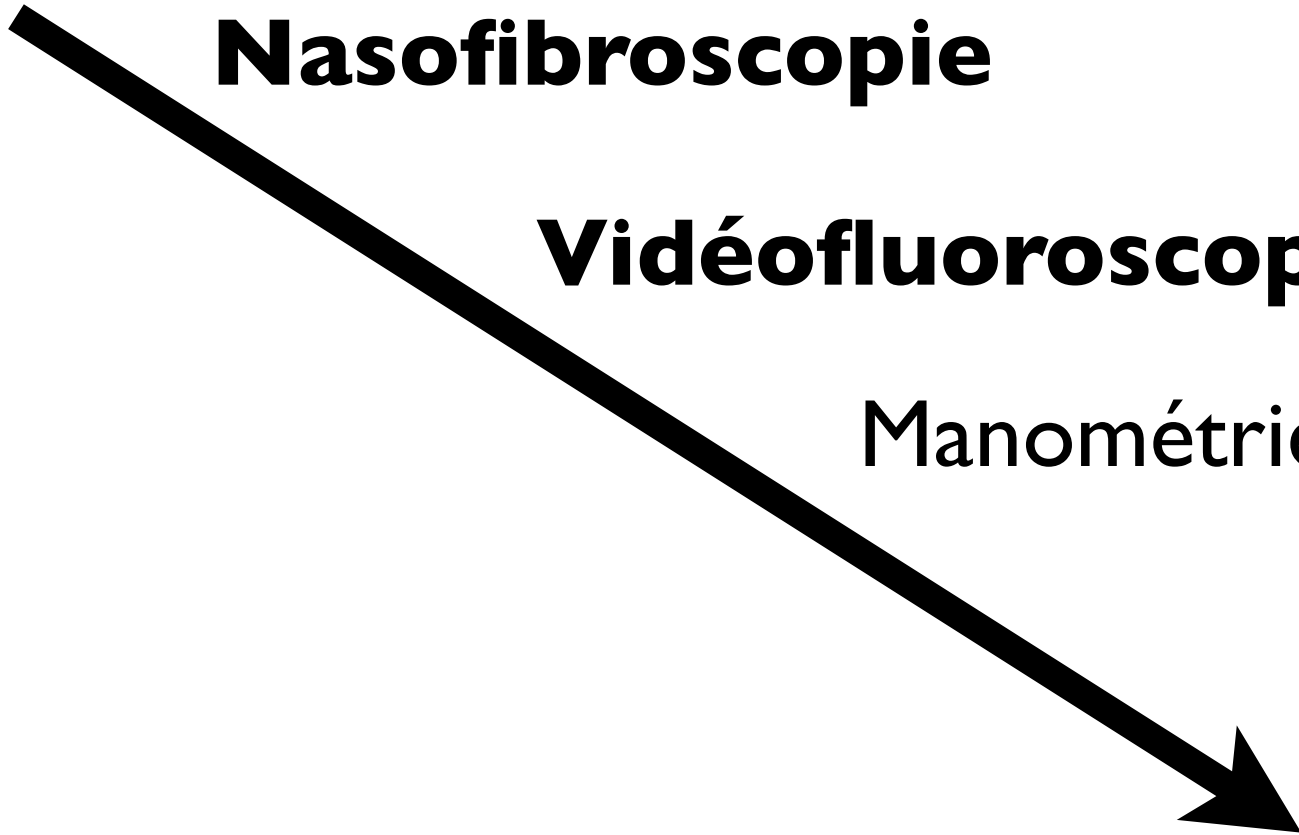
Examen clinique

Nasofibroskopie

Vidéo fluoroscopie

Manométrie

Potentiels
évoqués
moteurs



L'EXAMEN CLINIQUE



Limité

Motricité / Sensibilité

Efficacité de la toux



Essais alimentaires au lit du malade ?

- Tests existants
 - Water swallow test (50 ml)
 - 3 oz water test (90 ml)
 - Timed swallow test (150 ml > 10 ml/s)
 - Oxymetrie
- Mais
 - ➔ Test dangereux si aspiration
 - ➔ AVC uniquement

GUSS test (Dysphagia Bedside Screening for Acute-Stroke Patients: The Gugging Swallowing Screen)

Name: _____
 Date: _____
 Time: _____

G U S S

(Gugging Swallowing Screen)

1. Preliminary Investigation / Indirect Swallowing Test

	YES	NO
Vigilance (<i>The patient must be alert for at least for 15 minutes</i>)	1 <input type="checkbox"/>	0 <input type="checkbox"/>
Cough and/or throat clearing (<i>voluntary cough</i>) (<i>Patient should cough or clear his or her throat twice</i>)	1 <input type="checkbox"/>	0 <input type="checkbox"/>
Saliva Swallow:	1 <input type="checkbox"/>	0 <input type="checkbox"/>
• Swallowing successful		
• Drooling	0 <input type="checkbox"/>	1 <input type="checkbox"/>
• Voice change (hoarse, gurgly, coated, weak)	0 <input type="checkbox"/>	1 <input type="checkbox"/>
SUM:	(5)	
	1 - 4= Investigate further' 5= Continue with part 2	

2. Direct Swallowing Test (Material: Aqua bi, flat teaspoon, food thickener, bread)

<i>In the following order:</i>	1 →	2 →	3 →
	SEMISOLID*	LIQUID**	SOLID ***
DEGLUTITION:			
▪ Swallowing not possible	0 <input type="checkbox"/>	0 <input type="checkbox"/>	0 <input type="checkbox"/>
▪ Swallowing delayed (> 2 sec.) (Solid textures > 10 sec.)	1 <input type="checkbox"/>	1 <input type="checkbox"/>	1 <input type="checkbox"/>
▪ Swallowing successful	2 <input type="checkbox"/>	2 <input type="checkbox"/>	2 <input type="checkbox"/>
COUGH (involuntary): <i>(before, during or after swallowing - until 3 minutes later)</i>			
▪ Yes	0 <input type="checkbox"/>	0 <input type="checkbox"/>	0 <input type="checkbox"/>
▪ No	1 <input type="checkbox"/>	1 <input type="checkbox"/>	1 <input type="checkbox"/>
DROOLING:			
▪ Yes	0 <input type="checkbox"/>	0 <input type="checkbox"/>	0 <input type="checkbox"/>
▪ No	1 <input type="checkbox"/>	1 <input type="checkbox"/>	1 <input type="checkbox"/>
VOICE CHANGE: <i>(listen to the voice before and after swallowing - Patient should speak „O“)</i>			
▪ Yes	0 <input type="checkbox"/>	0 <input type="checkbox"/>	0 <input type="checkbox"/>
▪ No	1 <input type="checkbox"/>	1 <input type="checkbox"/>	1 <input type="checkbox"/>
SUM:	(5)	(5)	(5)
	1 - 4= Investigate further* 5= Continue Liquid	1 - 4= Investigate further* 5= Continue Solid	1 - 4= Investigate further* 5= Normal
SUM: (Indirect Swallowing Test AND Direct Swallowing Test)	----- (20)		

*	First administer ½ up to a half teaspoon Aqua bi with food thickener (pudding-like consistency). If there are no symptoms apply 3 to 5 teaspoons. Assess after the 5 th spoonful.
**	3, 5, 10, 20 ml Aqua bi - if there are no symptoms continue with 50 ml Aqua bi (Daniels et al. 2000; Gottlieb et al. 1996) Assess and stop the investigation when one of the criteria is observed!
***	Clinical: dry bread; FEES: dry bread which is dipped in coloured liquid
†	Use functional investigations such as Videofluoroscopic Evaluation of Swallowing (VFES) , Fiberoptic Endoscopic Evaluation of Swallowing (FEES)

Table. Sensitivity, Specificity, and Predictive Values of GUSS

	FEES, Highest Score		
	Aspiration Risk, PAS (5–8)	No Aspiration Risk, PAS (1–4)	
GUSS results, first group, n=19			
Aspiration risk (0–14)	13	3	PPV=81%
No aspiration risk (15–20)	0	3	NPV=100%
	Sensitivity=100%	Specificity=50%	Prevalence=68%
GUSS results, second group, n=30			
Aspiration risk (0–14)	14	5	PPV=74%
No aspiration risk (15–20)	0	11	NPV=100%
	Sensitivity=100%	Specificity=69%	Prevalence=10%

NPV indicates negative predictive value; PPV, positive predictive value. Sensitivity, specificity, and predictive values of GUSS in the first validation of stroke patients (n=19) were compared with “gold standard” FEES results. Aspiration risk was grouped according to the PAS of Rosenbek et al.³²

GUSS

(Gugging Swallowing Screen)

GUSS-EVALUATION

RESULTS		SEVERITY CODE	RECOMMENDATIONS
20	Semisolid / liquid and solid texture successful	Slight / No Dysphagia minimal risk of aspiration	<ul style="list-style-type: none"> • Normal Diet • Regular Liquids (<u>First time under supervision of the SLT or a trained stroke nurse!</u>)
15-19	Semisolid and liquid texture successful and Solid unsuccessful	Slight Dysphagia with a low risk of aspiration	<ul style="list-style-type: none"> • Dysphagia Diet (pureed and soft food) • Liquids very slowly, one sip at a time • Functional swallowing assessments such as Fiberoptic Endoscopic Evaluation of Swallowing (FEES) or Videofluoroscopic Evaluation of Swallowing (VFES) • Refer to Speech and Language Therapist (SLT)
10-14	Semisolid swallow success Liquids unsuccessful	Moderate dysphagia with a risk of aspiration	<p>Dysphagia diet beginning with:</p> <ul style="list-style-type: none"> • Semisolid textures such as baby food and additional parenteral feeding. • All liquids must be thickened! • Pills must be crushed and mixed with thick liquid. • No liquid medication! • Further functional swallowing assessments (FEES, VFES) • Refer to Speech and Language Therapist (SLT) <p style="text-align: center;"><i>Supplementation with nasogastric tube or parenteral</i></p>
0-9	Preliminary investigation unsuccessful or Semisolid swallow unsuccessful	Severe dysphagia with a high risk of aspiration	<ul style="list-style-type: none"> • NPO (non per os = nothing by mouth) • Further functional swallowing assessment (FEES, VFES) • Refer to Speech and Language Therapist (SLT) <p style="text-align: center;"><i>Supplementation with nasogastric tube or parenteral</i></p>

Attention aux fausses routes silencieuses

Trapl, M. et al. Stroke 2007;38:2948-2952



American Heart Association | American Stroke Association

Learn and Live

V-VST

Volume Viscosity Swallow Test

Clinical Nutrition (2008) 27, 806–815



available at www.sciencedirect.com



<http://intl.elsevierhealth.com/journals/clnu>



ORIGINAL ARTICLE

Accuracy of the volume-viscosity swallow test for clinical screening of oropharyngeal dysphagia and aspiration[☆]

Pere Clavé^{a,b,c,*}, Viridiana Arreola^a, Maise Romea^a, Lucía Medina^a,
Elisabet Palomera^a, Mateu Serra-Prat^{a,c}

^a Unitat d'Exploracions Funcionals Digestives, Department of Surgery, Hospital de Mataró, Universitat Autònoma de Barcelona, Carretera de Cirera s/n, 08304 Mataró, Spain

^b Fundació de Gastroenterologia Dr. Francisco Vilardell, Barcelona, Spain

^c Centro de Investigación Biomédica en Red, Enfermedades Hepáticas y Digestivas (Ciberehd), Instituto de Salud Carlos III, Ministerio de Sanidad y Consumo, Spain

Test la protection

Toux

Modification de la voix

Désaturation $> 3\%$

Test de la propulsion

Bavage

Résidu oral

Déglutitions multiples

Résidu pharyngé

Différentes consistances et volumes

Altération de **la propulsion** de la déglutition

Résidus

Moins de risque respiratoire

Risque nutritionnel

⇒ Viscosité la plus adaptée, le plus haut volume

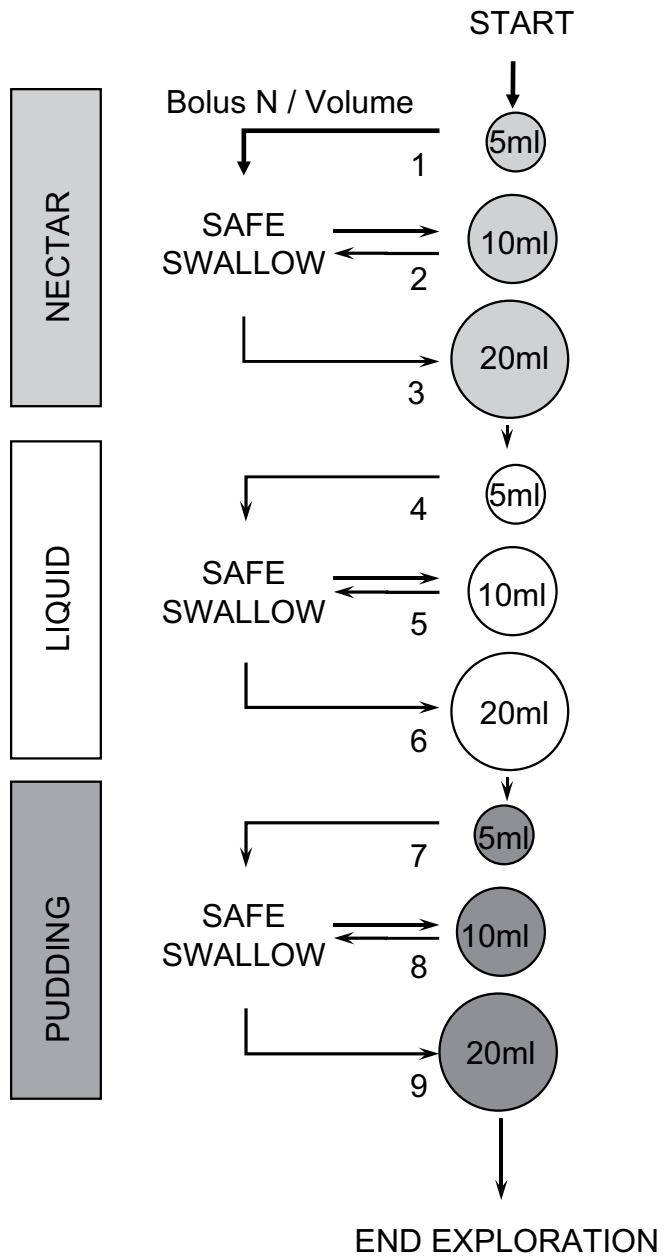
Altération de **la protection** de la déglutition

Risque respiratoire

Risque nutritionnel

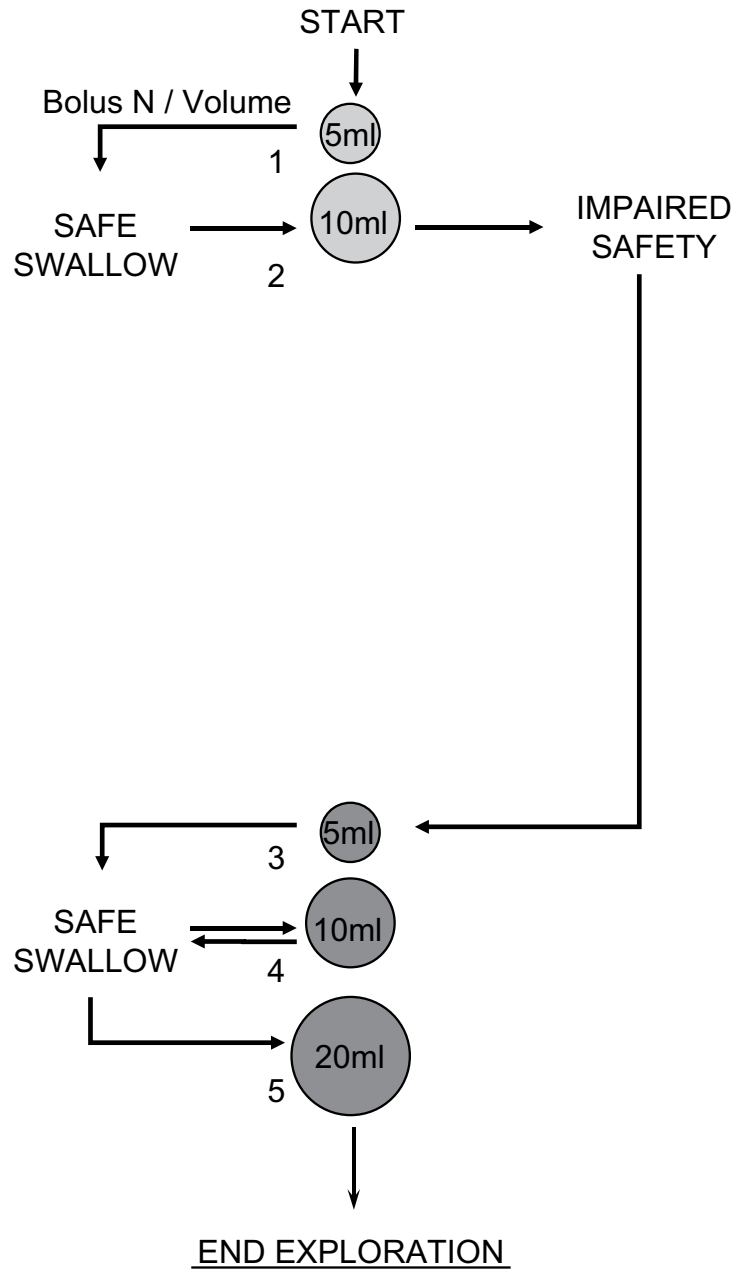
⇒ Viscosité sans risque, le plus haut volume

SAFE SWALLOW

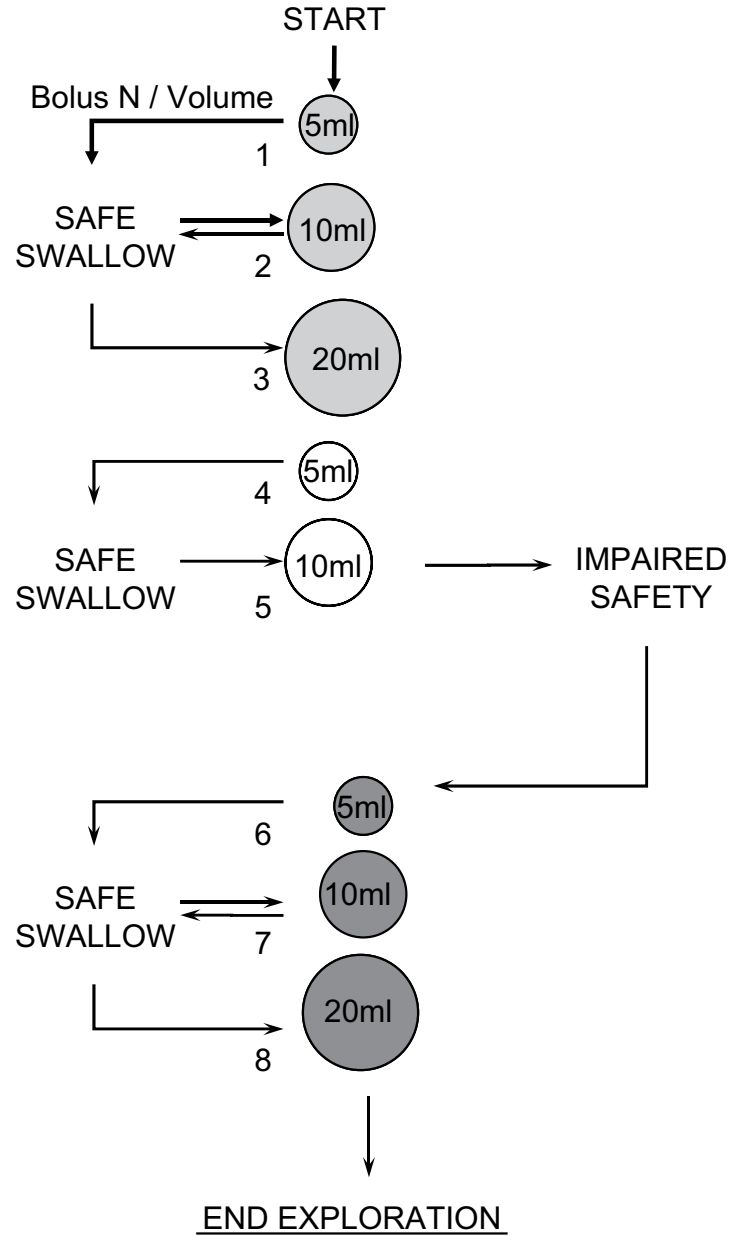


Test des consistances
Test des volumes
Oxymétrie: \downarrow SaO₂ > 3%

IMPAIRED SAFETY AT NECTAR



IMPAIRED SAFETY AT LIQUID



NOM : _____ **Prénom :** _____ **Date :** _____

1/ DEGLUTITION SECHE (Salive)

Vigilance (le patient est capable d'être attentif au moins 30 minutes)		Oui = 1							
Toux (le patient est capable de se racler la gorge)		Oui = 1							
Déglutition sur ordre (le patient est capable d'avaler sa salive)									
	Avec succès	Oui = 1							
	Bavage	Non = 1							
	Voix mouillée	Non = 1							
	TOTAL		0						

Si score de 1 à 4 : STOP
Si score = 5 continuer au 2

2/ DEGLUTITION de CONSISTANCE et de VOLUME variables (VVST)

SaO2	_____ % (si < 92% : STOP)								
SECURITE									
	Nectar			Liquide			Pudding		
	5 ml	10 ml	20 ml	5 ml	10 ml	20 ml	5 ml	10 ml	20 ml
Toux									
Modification de la voix									
Chute SaO2 > 3%									
EFFICACITE									
	Nectar			Liquide			Pudding		
	5 ml	10 ml	20 ml	5 ml	10 ml	20 ml	5 ml	10 ml	20 ml
Bavage									
Résidu oral									
Déglutitions multiples									
Résidu Pharyngé									
CONCLUSION	Normal :		Sécurité altérée :		Efficacité altérée :				
RECOMMANDATIONS							5 ml	10 ml	20 ml
	Aucune						Nectar		
	Exploration à prévoir						Liquides		
	Adapter les textures et les volumes						Pudding		

Clave P, Arreola V, Romea M, Medina L, Palomera E, Serra-Prat M. Accuracy of the volume-viscosity swallow test for clinical screening of oropharyngeal dysphagia and aspiration. Clin Nutr. 2008 Dec;27(6):806-15.

Nasofibroscopie

Anomalies anatomiques
Motricité / Sensibilité
Retard de l'initiation
Stase

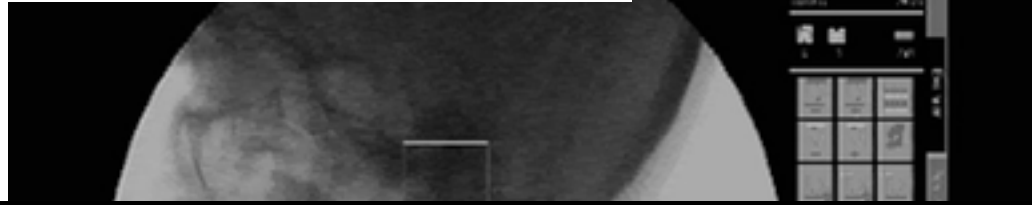
Signes indirects de fausse route

REMARQUE

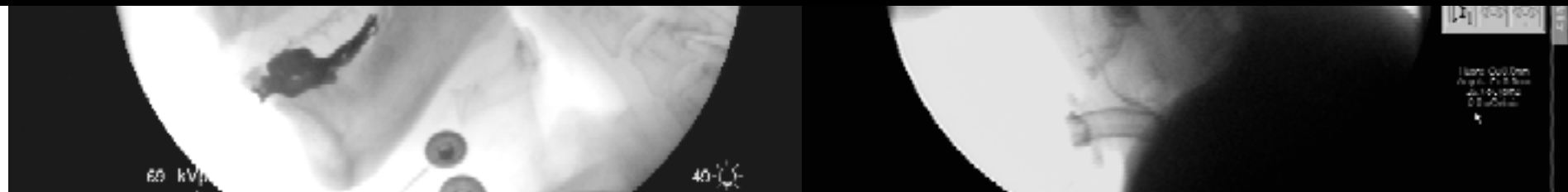
Aviv, J. E., J. H. Martin, M. S. Keen, M. Debell and A. Blitzer (1993). "Air pulse quantification of supraglottic and pharyngeal sensation: a new technique." *Ann Otol Rhinol Laryngol* 102(10): 777-80.

Aviv, J. E., J. H. Martin, R. L. Sacco, D. Zagar, B. Diamond, M. S. Keen and A. Blitzer (1996). "Supraglottic and pharyngeal sensory abnormalities in stroke patients with dysphagia." *Ann Otol Rhinol Laryngol* 105(2): 92-7.

Vidéo fluoroscopie



Anomalies fonctionnelles
Initiation de la déglutition
Fausses routes (niveau)
Résidu
Reflux nasal



**Défaut de protection des voies
aériennes**

Défaut de transport

Défaut de mécanisme d'expulsion

Les réponses

Risques de fausses routes sévères =
alimentation non orale

Troubles importants de la propulsion pharyngées,
défaut de protection pour toutes les consistances

Croghan, J. E., E. M. Burke, S. Caplan and S. Denman (1994). "Pilot study of 12-month outcomes of nursing home patients with aspiration on videofluoroscopy." Dysphagia 9(3): 141-6.

Veis, S. L. and J. A. Logemann (1985). "Swallowing disorders in persons with cerebrovascular accident." Arch Phys Med Rehabil 66(6): 372-5.

Les réponses

Fausse route \neq pneumopathies de déglutition

Radiographic Aspiration as a Predictor of Aspiration Pneumonia

Population	Study design (level of evidence)	Pneumonia incidence (follow-up duration)	Positive predictive value for pneumonia	Negative predictive value for pneumonia	Significant findings
Stroke, n = 60 ¹⁴⁷	Uncontrolled, retrospective (V)	30% (12 mo)	68%	69%	Predictive of sooner development of pneumonia but not pneumonia incidence
Mixed neurogenic, n = 40 ¹⁰	Uncontrolled, retrospective (V)	43% (12 mo)	50%	55%	Predictive for rehospitalization (82% positive predictive value) but not pneumonia
Stroke, n = 26 ¹⁵¹	Retrospective case-control (III)	19% (18 mo)	19%	97%	Predictive for pneumonia; odds ratio for pneumonia, 7.6 ^a ; odds ratio for death, 9.2 ^b
Stroke, n = 115 ¹⁵²	Randomized control trial (II)	7% (12 mo)	?	?	Not predictive of pneumonia; low incidence of pneumonia because of selection criteria; low statistical power
Stroke, n = 121 ¹⁵³	Uncontrolled, prospective (V)	25% (1 wk)	35%	84% ^c	Not predictive of pneumonia or mortality

Les réponses

Alimentation non orale \neq prévention des pneumopathies de déglutition

Croghan JE, Burke EM, Caplan S, Denman S. Pilot study of 12-month outcomes of nursing home patients with aspiration on videofluoroscopy. *Dysphagia* 1994;9(3):141-6.

Précoce, SNG ou gastrostomie

Dennis MS, Lewis SC, Warlow C. Effect of timing and method of enteral tube feeding for dysphagic stroke patients (FOOD): a multicentre randomised controlled trial. *Lancet* 2005;365(9461):764-72.

Les réponses

Pas de fausses routes, stase modérée

Pas de fausses routes pour certaines consistances, stase modérée

Mesures adaptatives alimentaires
Enjeu majeur actuel

Rééducation

Logemann, J. A. (1997). "Role of the modified barium swallow in management of patients with dysphagia." Otolaryngol Head Neck Surg 116(3): 335-8.

Conclusion

An iceberg floating in the ocean, with a small tip above the water and a much larger, jagged mass submerged below. The sky is blue with light clouds, and the water is a deep blue-purple.

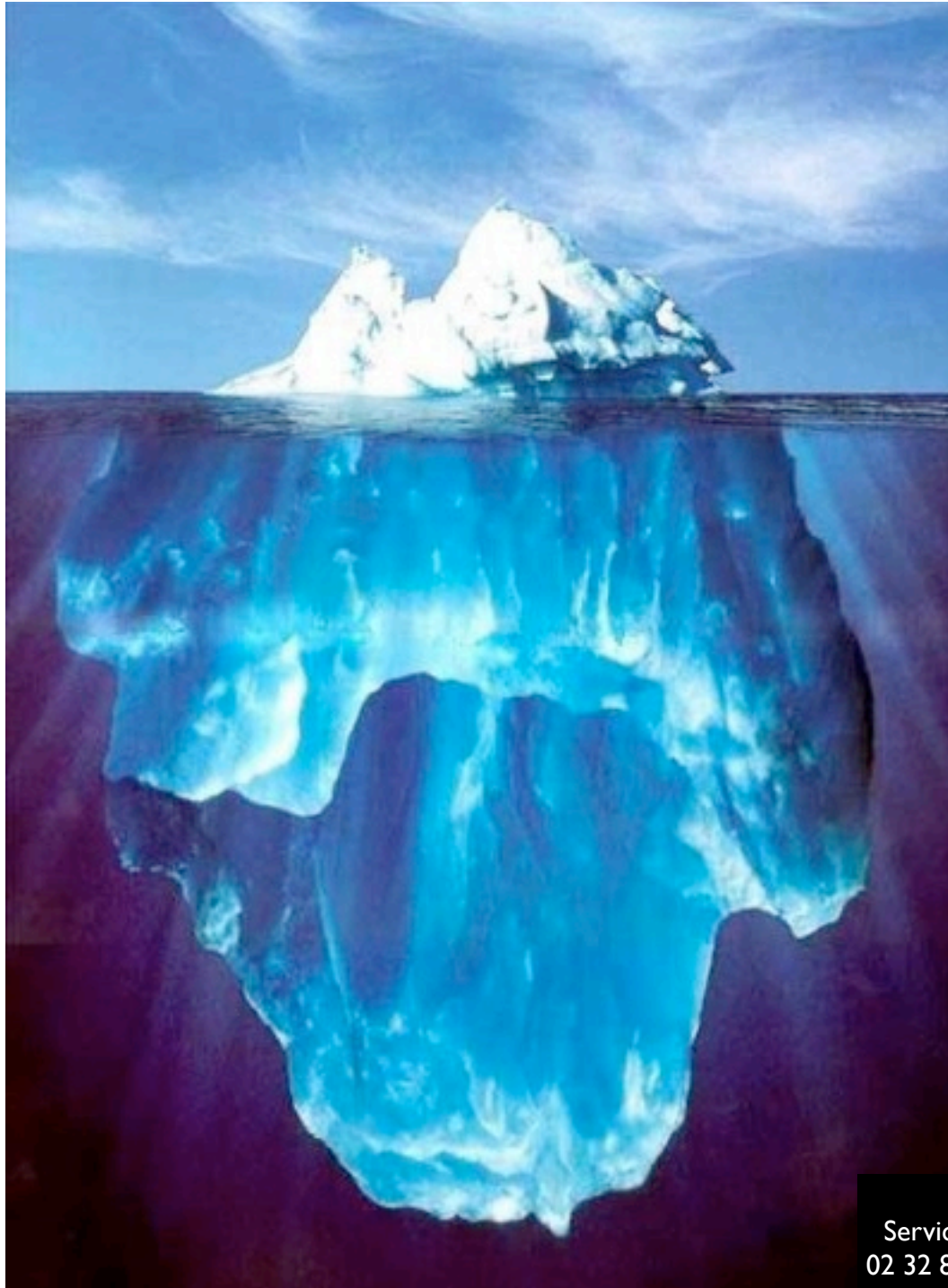
La prise en charge des troubles de déglutition n'est pas une science exacte

Les examens complémentaires permettent de les caractériser

Pour orienter la rééducation

AGA Technical Review on Management of Oropharyngeal Dysphagia

This literature review and the recommendations therein were prepared for the American Gastroenterological Association Clinical Practice and Practice Economics Committee. The paper was approved by the committee on May 17, 1998.



Dr Eric VERIN
Service de physiologie CHU de Rouen
02 32 88 80 39 / eric.verin@chu-rouen.fr